

DEC-23-2002

UNITED STATES PATENT AND TRADEMARK OFFICE

P.02

# 35 / *Rz* for

*Refund*

03-19-03

Steve M. Utter

NO.: 09/358,280

AU: 3752

ING DATE: July 21, 1999

EXAMINER: Christopher S. Kim

TITLE: PORTABLE MIST COOLING SYSTEM


Assist. Commissioner for Patents

Washington, D.C. 20231

ATTN: Refund Section, Accounting Division  
Office of Finance

**Certificate of Facsimile Transmission**

I hereby certify that this document (along with any referred to as being attached or enclosed) is being facsimile transmitted on this 23<sup>rd</sup> day of December, 2002, addressed to: Assist. Commissioner for Patents, Washington, D.C. 20231, ATTN: Refund Section, Accounting Division - Office of Finance at (703) 305-8007.

  
Lori Dunham

**REQUEST FOR REFUND**  
**Improper Charge to Deposit Account**

Dear Sir:

I. **REFUND REQUEST**

This is a request for a refund with respect to a charge to Deposit Account No. 1 shown on the December 2002 statement as shown on the U.S. Patent and Trademark website for the above-identified patent application. A copy of the statement in which referred to occurred, accompanies this request.

Best Available Copy

II. FEES CHARGED FOR WHICH REFUND REQUESTED

Government filing fee for Request for Continued Examination (RCE) \$740.00

TOTAL REFUND REQUESTED: \$370.00

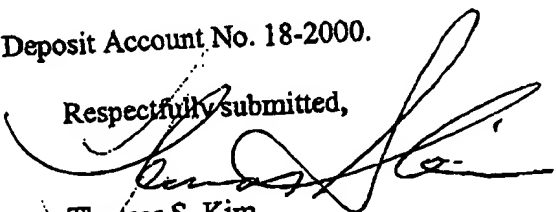
III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

The government filing fee charged to the deposit account of \$740.00 is the large entity fee for the filing of a Request for Continued Examination (RCE). The applicant in the instant application is entitled to pay the small entity fee of \$370.00.

IV. MANNER OF REFUND

Please make refund by crediting Deposit Account No. 18-2000.

Respectfully submitted,

  
Thomas S. Kim  
Reg. No. 51,009

December 23, 2002

ROSENBAUM & ASSOCIATES, P.C.  
875 North Michigan Avenue  
Suite #3600  
Chicago, Illinois 60611  
(312) 397-0303 telephone  
(312) 397-0301 facsimile

Docket No. 6030-021



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE  
★★★★

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**Deposit Account Statement**

**Requested Statement Month:**

December 2002

**Deposit Account Number:**

182000

**Name:**

ROSENBAUM & ASSOCIATES, P.C.

**Attention:**

LAURA A. MOLTER

**Address:**

875 NORTH MICHIGAN AVENUE

**City:**

CHICAGO

**State:**

IL

**Zip:**

60611

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
12/03	485	78190435		7001	\$325.00	\$4,090.00
12/03	912	78016620		7004	\$150.00	\$3,940.00
12/04	5	09532164	6006-006	2453	\$640.00	\$3,300.00
12/04	6	09532164	6006-006	8001	\$36.00	\$3,264.00
12/04	7	09532164	6006-006	2501	\$640.00	\$2,624.00
12/04	425	76269745		7004	\$150.00	\$2,474.00
12/04	449	76269746		7004	\$150.00	\$2,324.00
12/05	383	76269575		7004	\$150.00	\$2,174.00
12/05	388	76269578		7004	\$150.00	\$2,024.00
12/06	1	E-		9203	\$2,500.00	-\$476.00
12/16	17	E-		9203	-	\$1,524.00
12/18	304	78195453		7001	\$325.00	\$1,199.00
12/20	3	09358280	MISTY-	1801	\$740.00	\$459.00

START  
BALANCE  
\$4,415.00

SUM OF  
CHARGES  
\$5,956.00

SUM OF  
REPLENISH  
\$2,000.00

END  
BALANCE  
\$459.00

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**ROSENBAUM & ASSOCIATES, P.C.**

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**FAX COVER SHEET**

FAX NUMBER TRANSMITTED TO: (703) 305-8007

To: Refund Division - Office of Finance  
Of: U.S. Patent and Trademark Office  
From: Rosenbaum & Associates, P.C.  
Client/Matter: 6030-021  
Date: December 23, 2002

DOCUMENTS	NUMBER OF PAGES*
Fax Transmittal	1
Request for Refund	2
Copy: Deposit Account Statement	1
TOTAL	4

COMMENTS: In re: Serial No. 09/358,280  
Applicant: Steven Utter  
Attorney Dkt No. 6030-021

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